

02-05-02

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Practitioner's Docket No. 600-023

PATENT

NEW APPLICATION TRANSMITTAL

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on October 26, 2001 under "EXPRESS MAIL" mailing label number **EL238635176US**.

Tammy S. Senecal
 Tammy S. Senecal

10/26/01
 10/06/01
 10/26/01

Box Patent Application
 Assistant Commissioner for Patents
 Washington D.C. 20231

Transmitted herewith for filing is the patent application of:

Inventor 1: Mark Krol

Title: CHECKER-BOARD OPTICAL CROSS-CONNECT

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 10 Page(s) of Specification
9 Page(s) of Claims
1 Page(s) of Abstract
6 Sheets of Formal Drawings (Figs.1-10)

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
☒ An Assignment Transmittal and Assignment of the invention to Corning, Inc.

3. Small Entity Status

- ☐ A statement that this filing is by a small entity is attached.
☐ A separate refund request accompanies this paper.
☐ was filed on _____ (original).

4. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 740.00
(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$355.00)
- B. Fees for Claims (53 Claims; 6 Indep.)
☒ each independent claim in excess of 3
(37 C.F.R. 1.16(b)--\$84.00; small entity--\$42.00) \$ 252.00
☒ each claim in excess of 20
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00) \$ 594.00
☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)

The PTO did not receive the following
 listed item(s) No Assignment
Papers cover sheet

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C. Surcharge fees

☐ late payment of filing fee \$ 0.00
(37 C.F.R. 1.16(e)~\$130.00; small entity~\$65.00)

and/or

☐ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e)~\$130.00; small entity~\$65.00)

NOTE If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).

D. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$.00
(37 C.F.R. 1.17(i) and 1.47~\$130.00)

E. ☐ Fee for processing an application filed with a specification in a non-English language \$.00
(37 C.F.R. 1.17(k) and 1.52(d)~\$130.00)

F. ☐ Assignment Fee \$.00

Total Fees Due \$ 1,586.00

5. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

☐ A check in the amount of is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR 1.16.

☒ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

October 26, 2001

Date

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